



ATTORNEY DOCKET NO. HKPC/196/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vincent Ching Ping Li  
Application Number: 09/465,336 Group/Art Unit 3627  
Filed: 12/17/1999 Examiner: Rena Dye  
Title: Method for Charting Financial Market Activities

Commissioner for Patents  
United States Patent and  
Trademark Office  
Washington, DC 20231

Sir:

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GROUP 3600

RESPONSE TO OFFICE ACTION

In response to the restriction requirement of September 12, 2002, the Applicant provisionally elects claims 1-23, drawn to a method for monitoring for a user the price activities of a financial instrument traded in a financial market.

Applicant respectfully traverses the restriction requirement. The criteria for restriction between patentably distinct inventions is proper only if: 1) the claimed inventions are either "independent" or "distinct" from each other under for example §806.05(e) AND 2) there is a serious burden on the examiner unless a restriction is made. MPEP §803. Applicant traverses the restriction requirement on least the basis that the Examiner has not met the showing of a serious burden. Examiner has not for example cited patents to support the statement

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Signature:

Guy D. Yale

Reg. No.: 29,125

Date: October 11, 2002

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there are distinct inventions that "have acquired a separate status in the art because of their recognized divergent subject matter". MPEP §808.02.

Applicant believes this reply to the restriction requirement to be complete, and prompt favorable action thereon is earnestly solicited.

Respectfully Submitted,

Vincent Ching Ping Li

By: 

Guy D. Yale  
Registration No. 29,125  
Alix, Yale & Ristas, LLP  
Attorney for Applicant

Date: October 11, 2002  
750 Main Street – Suite 1400  
Hartford, CT 06103-2721  
(860) 527-9211  
Our Ref: HKPC/196/US

GDY/TAH/tlc